



# Holography and Unitarity in Gravitational Physics

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UCSB

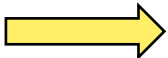
ILQG Seminar

arXiv: 0808.2842 & 0808.2845

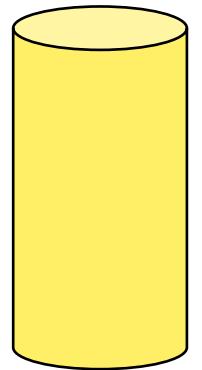
# This talk is about:

- Diffeomorphism Invariance and observables in quantum gravity
- The non-locality of quantum gravity observables
- Implications for Information "propagation" (re: Black Holes, AdS/CFT, etc.)

## Key Point:

- $H_{\text{ADM}}$  is a pure boundary term on the constraint surface.
-  Clean statements for appropriate boundary conditions.

- We'll focus on AdS BCs here, or AdS-like BCS, w/ brief comments on As. Flat (details in refs)  
Other BCs = future work



# Punch Line: AdS Boundary Unitarity

1. "Boundary Fields" form a natural set of *observables*.  
(E.g.,  $E_{ab} = C_{abcd} n^c n^d$  rescaled and pulled back to bndy.)

Let  $A_{\text{bndy obs}}(t)$  = algebra of boundary observables at time  $t$

2. On the constraint surface,  $H$  is a pure boundary term.

$$H = H(t) \square A_{\text{bndy obs}}(t)$$

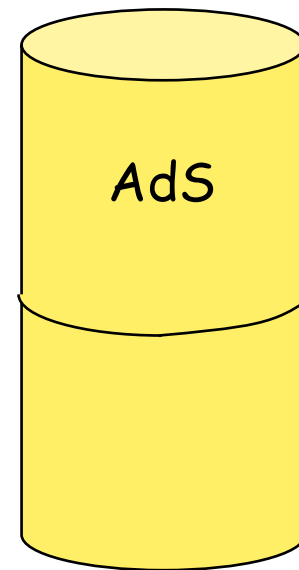
Suppose this is self-adjoint on *some* Hilbert space.

3. Then  $H$  generates time translations (for Observables) via

$$U(t_1, t_2) = \mathcal{P} \exp \left( -i \int_{t_1}^{t_2} H(t) dt \right)$$

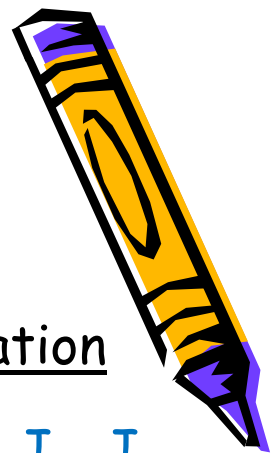

$$\Rightarrow A_{\text{bndy obs}}(t_1) = A_{\text{bndy obs}}(t_2) \quad \text{"Boundary Unitarity!"}$$

In QM, Information present on the Bndy at any one time  $t_1$  remains present at any other time  $t_2$ .



$t=0$

# The role of QM



## Technical Result:

Similar for QM & CM

$\mathcal{O} \in \mathcal{A}$ , generated by  $A_1, A_2, A_3 \dots$

1. Holds in QM with usual notion of algebra
2. Holds classically for Poisson Algebra

Analogy:  $J_z \in \mathcal{A}$ , generated by  $J_x, J_y$



## Physical Interpretation

CM: Measurements of  $J_x, J_y, \dots$  may tell us nothing about  $J_z$ !

QM: Information about  $\mathcal{O}$  can be obtained by measuring  $A_1, A_2, \dots$

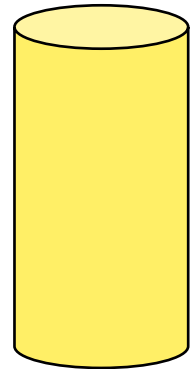
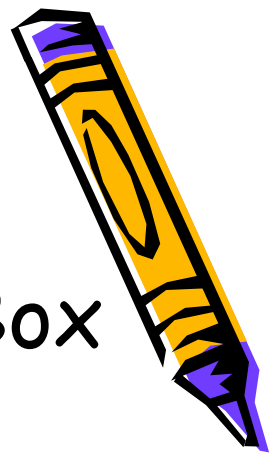
(E.g., Suppose an ensemble of identically prepared spins. Find  $J_z$  as follows:

For half, measure  $J_x$  and then  $J_y$ .

For other half, measure  $J_y$  and then  $J_x$ .)

# Outline:

- I. Toy Model for AdS: Gravity in a Box
- II. Boundary Unitarity
- III. Perturbative Holography
- IV. AdS Boundary Conditions
- V. Comments on As Flat BCs
- VI. Summary



# I. Gravity in a box (very similar to AdS BCs)

First, review a familiar problem:

Linear scalar  $\phi$  in a cylinder in flat space time (radius  $R$ ).

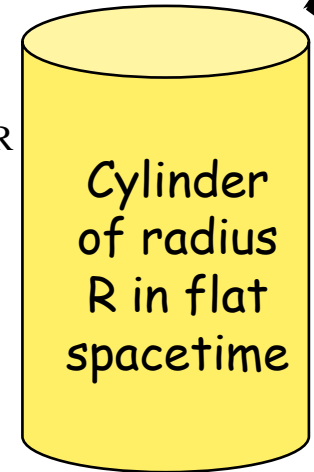
$$\nabla^2 \phi - m^2 \phi = 0$$

Dirichlet data:  $\phi_D = \phi|_{r=R}$  Neumann data:  $\phi_N = n^a \partial_a \phi|_{r=R}$

For  $z = r-R$ ,  $\phi = \phi_D + z \phi_N + O(z^2)$

For well-posed problem, fix one of these (or Robin) as BC.

Key physical point: Good phase space if Klein-Gordon inner product is conserved.



$$F(\delta\phi^1, \delta\phi^2) = \text{KG flux out of cylinder} = \int_{r=R} (\delta\phi_D^1 \delta\phi_N^2 - \delta\phi_D^2 \delta\phi_N^1)$$

Say, choose Dirichlet BC: maybe  $\phi_D = 0$ . Then  $\phi_N$  is dynamical.  
Call it a "boundary field."

Nothing magic here.  $\phi_N$  is just a part of  $\phi$ , which of course interacts with the other parts of  $\phi$ . Info is continually exchanged btwn  $\phi_N$  and the rest of  $\phi$ .

Same story for non-linear fields.

Slide 6



# Gravity in a box

Gravity is similar, too:  $G_{ab} = 8\pi T_{ab}$

Dirichlet data:  $g^{(0)} = g|_{r=R}$  (pull-back)

Neumann data:  $P_{ij} = [K_{ij} - (1/2)K g^{(0)}_{ij}]|_{r=R}$

Note: In Gaussian Normal Coordinates (w/  $z=0$  on Bndy)

$$ds^2 = dz^2 + g_{ij}(x,z) dx^i dx^j, \quad x^i = t, x, y.$$

$$g_{ij} = g_{ij}^{(0)} + z K_{ij} + \dots$$

Key physical point:

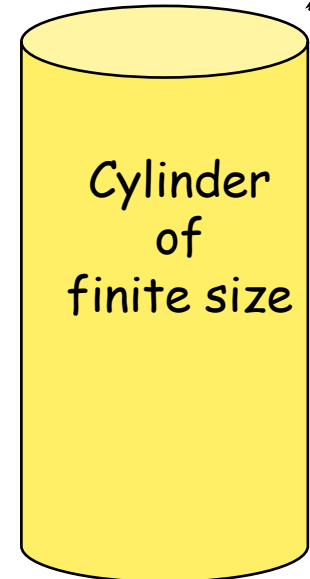
Good phase space if symplectic product is conserved.

$F(\delta g^1, \delta g^2) =$  flux out of cylinder

$$= \int_{\text{Bndy}} [(\delta g^1)^{ij(0)} \delta P_{ij}^{2,2} - (\delta g^2)^{ij(0)} \delta P_{ij}^{1,1}]$$

Expect well-posed initial value problem and short-time existence for BCs with  $F=0$ . Say, choose Dirichlet BC: fix  $g^{(0)}_{ij}$ .

Then  $P_{ij}$  is a dynamical "boundary field."



# Which Diffeos are Symmetries?

Consider a vector field:

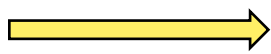
$$\xi^a(x,z) = \xi_{(0)}^a(x) + z \xi_{(1)}^a(x) + \dots$$

1) To preserve the cylinder, require  $\xi_{(0)}^a$  tangent to boundary.

2) Recall:  $g_{ij}^{(0)}$  is fixed as a BC. To preserve BC,  $\xi_{(0)}^i$  must be a KVF of  $g_{ij}^{(0)}$ .

Note: set of  $\xi_{(0)}^a$  is finite dimensional.  
Defines "asymptotic symmetry group."

Not *gauge symmetries*.

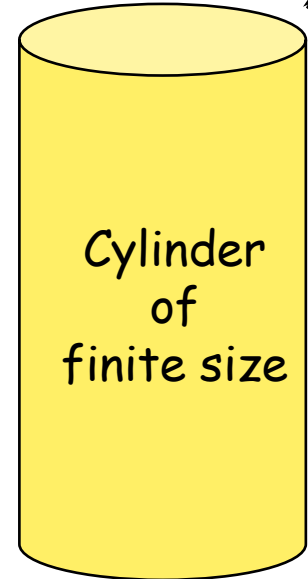


Gauge symmetries are generated by

$$\xi^a(x,z) = z \xi_{(1)}^a(x) + \dots$$

These act trivially on Bndy, and leave invariant bndy fields :  $\phi_N = n^a \partial_a \phi \Big|_{r=R}, P_{ij}$

I.e., Bndy fields are *observables*.





# II. "Boundary Unitarity"

1. "Boundary Fields" form a natural set of *observables*.

Let  $A_{\text{bndy obs}}(t)$  = algebra of boundary observables  
[generated by  $\phi_N, P_{ij}$ ] at time  $t$

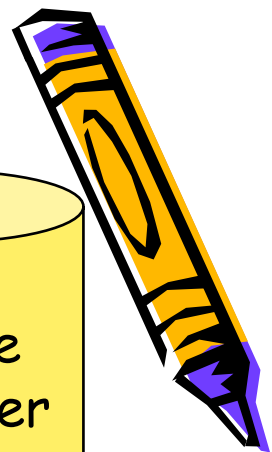
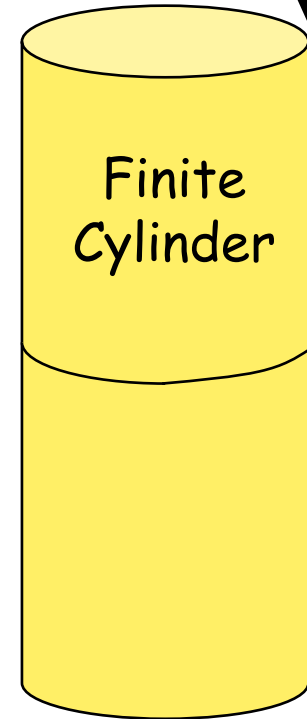
2. Construct the Hamiltonian:  
On the constraint surface,  $H$  is a pure boundary term.  
(Time-dependent of  $t$ -trans not a symmetry).

$H = H(t) \square A_{\text{bndy obs}}(t)$   
[weak equivalence, or action on physical phase space.]

E.g., for above BCs fixing  $g^{(0)}_{ij}$  and  $\phi_D=0$ , find

$$H(t) := \int_{\text{Bndy Cut w/ } t=\text{const}} P_{ij} \xi^i \underline{n}^j dA \quad (\text{Brown \& York})$$

with  $\xi = \partial_t$  and  $\underline{n}^i$  = normal to  $t$ = constant cut of boundary.



# "Boundary Unitarity," part 2:

$$H = H(t) \square A_{\text{bndy obs}}(t)$$

$$\text{E.g., } H(t) := \int_{t=\text{const}} P_{ij} \xi^i \underline{n}^j dA$$

Note: For any observable  $\mathcal{O}$ ,

$$\partial_t \mathcal{O}(t) = -i [\mathcal{O}(t), H(t)]$$

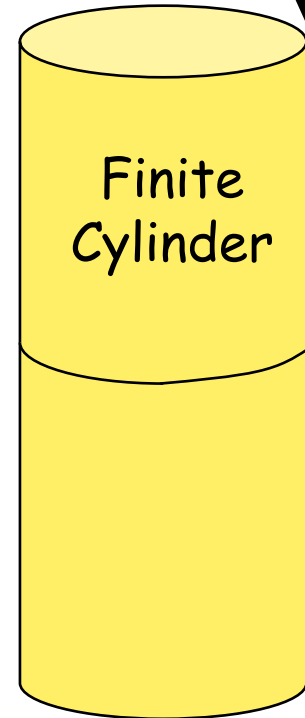
3. Suppose\* that we can exponentiate  $H(t)$  to define

$$U(t_1, t_2) = \mathcal{P} \exp \left( -i \int_{t_1}^{t_2} H(t) dt \right)$$

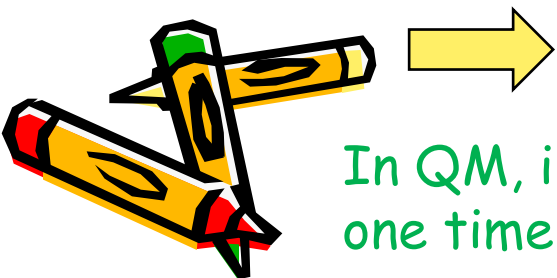
Then, as in usual QM, find

$$\mathcal{O}(t_2) = U(t_2, t_1) \mathcal{O}(t_1) U(t_1, t_2)$$

I.e., expresses any Bndy Obs at  $t_2$   
in terms of Bndy Fields  $\phi_N, P_{ij}$ , at any other  $t_1$ .



t=0



$$A_{\text{bndy obs}}(t_1) = A_{\text{bndy obs}}(t_2) \quad \text{"Boundary Unitarity!"}$$

In QM, information present on the Bndy at any one time  $t_1$  remains present at any other time  $t_2$ .

# Comment on Assumption:

For any *observable*  $\mathcal{O}$ ,  $\partial_+ \mathcal{O}(t) = -i [\mathcal{O}(t), H(t)]$

3. *Suppose* \* that we can exponentiate  $H(t)$  to define

$$U(t_1, t_2) = \mathcal{P} \exp \left( -i \int_{t_1}^{t_2} H(t) dt \right)$$

Classical Interpretation on space of smooth metrics:

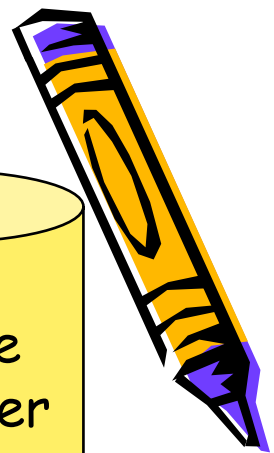
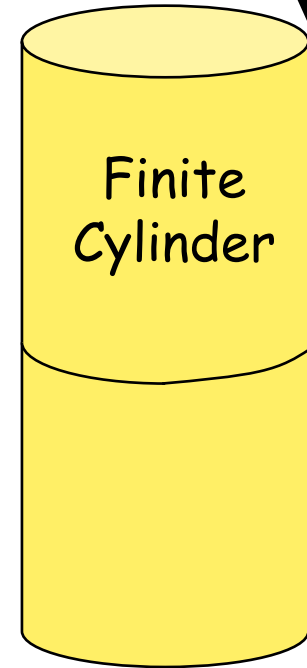
Assumes long-time existence of solutions to EOMs, at least in some neighborhood of the Bndy.

I.e., form of "Cosmic Censorship." (False for finite cylinder.)

QM interpretation:

Assumes quantum Hamiltonian can still be built from  $\phi_N$ ,  $P_{ij}$ , but that Quantum Gravity "resolves singularities".

Appears consistent w/ LQG, and easier for BCs where cosmic censorship holds classically.



# III. Perturbative Holography

Summary of Above: Any info ever present in the Bndy Fields remains encoded in Bndy Fields.

Q: Is this everything? Or is there more info "in the bulk."

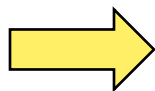
A: Maybe, but "not much."

Consider perturbation theory abt some classical solution which is flat before  $t=0$ .

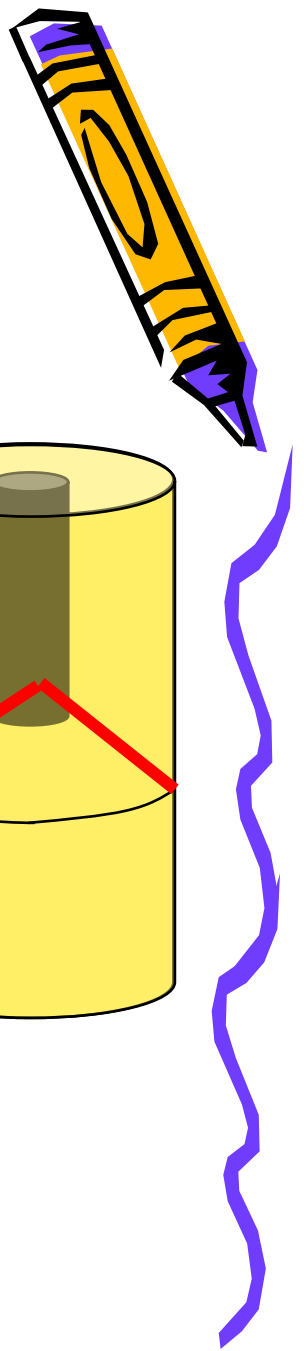
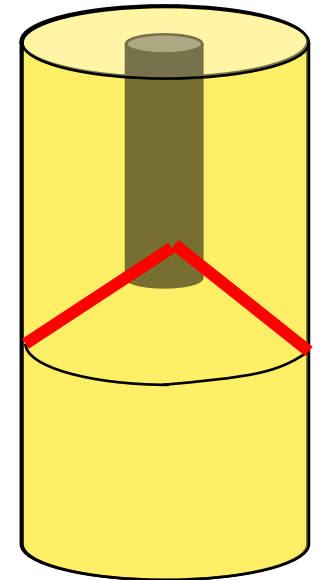
(Though need not remain flat for time-dep BCs. E.g., can make a black hole.)

At linearized level, any  $h_{ab}, \phi$  can be written (up to gauge) in terms of Bndy observables at early times by solving EOMs.

(Related to Holmgren's Uniqueness Thm.)



Remains true at any order in perturbation theory.



# Perturbative Holography

So, *any* perturbative observable can be written in terms of Bndy Observables at early times by solving EOMs.

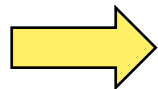
$$A_{\text{All Pert Obs}} = A_{\text{Bndy Obs}}(\text{all } t < 0)$$

But in *gravity*, at any order beyond the linearized theory, the Hamiltonian can again be written as a boundary term!

(I.e., Gauss' Law gives a useful measure of the energy.)

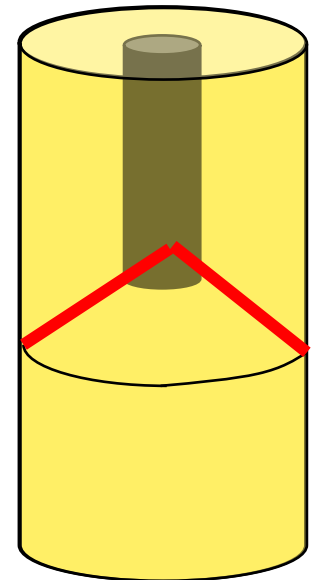
Bndy Unitarity Argument 

$$A_{\text{Pert Obs}}(\text{all } t < 0) = A_{\text{Bndy Obs}}(\text{any single } t)$$



$$A_{\text{All Pert Obs}} = A_{\text{Bndy Obs}}(\text{any single } t)$$

"Perturbative Holography"



# IV. Similar story for AdS gravity (D=4)

Fix Bndy @  $z=0$ . In Fefferman-Graham Gauge:

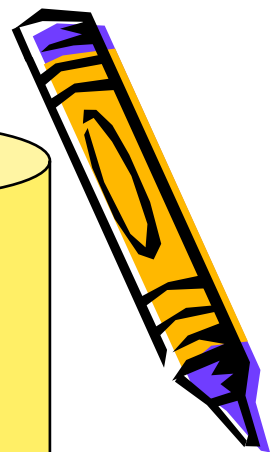
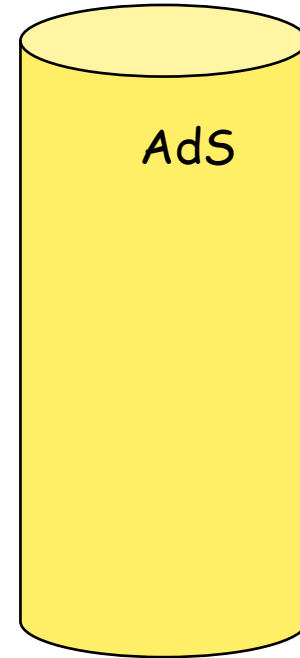
$$ds^2 = z^{-2} (dz^2 + g_{ij}(x,z) dx^i dx^j), \quad x^i = t, x, y.$$

Conformal analogue of Gaussian Normal Coordinates.

$$g_{ij} = g_{ij}^{(0)} + z g_{ij}^{(1)} + z^2 g_{ij}^{(2)} + z^3 \beta_{ij} + \dots$$

Determined by  $g_{ij}^{(0)}$

Independent of  $g_{ij}^{(0)}$   
for D=4



Good phase space if symplectic structure is conserved.

$$F(\delta g^1, \delta g^2) = \text{flux out through Bndy} = \int_{\text{Bndy}} [(\delta g^1)^{ij(0)} \delta T_{ij}{}^2 - (\delta g^2)^{ij(0)} \delta T_{ij}{}^1]$$

where  $T_{ij} = E_{ij} / (D-3)$ , with  $E_{ij} = \lim_{z \rightarrow 0} z^{D-3} C_{ijkl} n^k n^l$   
is built from  $g_{ij}^{(0)}$  and  $\beta_{ij}$ .

E.g, fix  $g_{ij}^{(0)}$ . Then  $T_{ij}$  is a dynamical "boundary field."

Q: Which diffeos are gauge?

A: Only those acting trivially on Bndy  
& preserving asymptotic form of "z".

→  $T_{ij}$  is an observable. Also true for  $\phi_N$ .



# AdS Boundary Unitarity

1. "Boundary Fields"  $T_{ij}, \phi_N$  form a natural set of *observables*.

Let  $A_{\text{bndy obs}}(t)$  = algebra of boundary observables at time  $t$

2. On solutions,  $H$  is a pure boundary term.

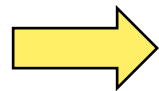
$$H = H(t) \square A_{\text{bndy obs}}(t)$$

Suppose this can be exponentiated.

**Note: Classical cosmic censorship is plausible, especially for  $AdS_4$ .**

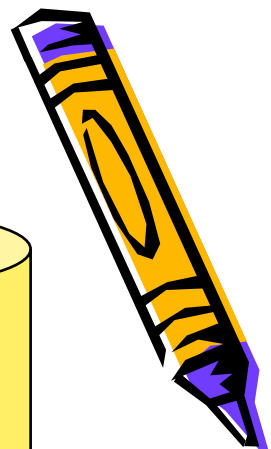
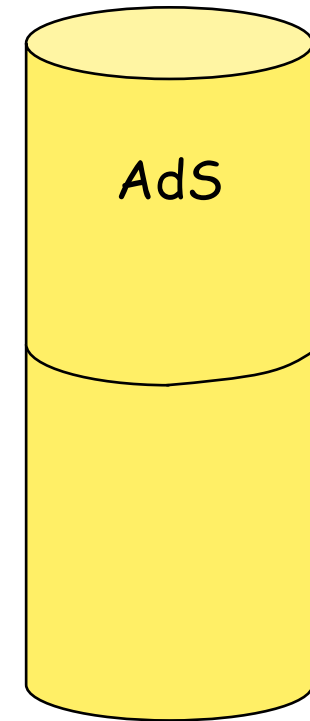
3. Then  $H$  generates time translations (for Observables) via

$$U(t_1, t_2) = \mathcal{P} \exp \left( -i \int_{t_1}^{t_2} H(t) dt \right)$$



$$A_{\text{bndy obs}}(t_1) = A_{\text{bndy obs}}(t_2)$$

**"Boundary Unitarity!"**



$t=0$

Perturbative Holography also follows, just as for "Gravity in a Box."



# V. Comments on As Flat case

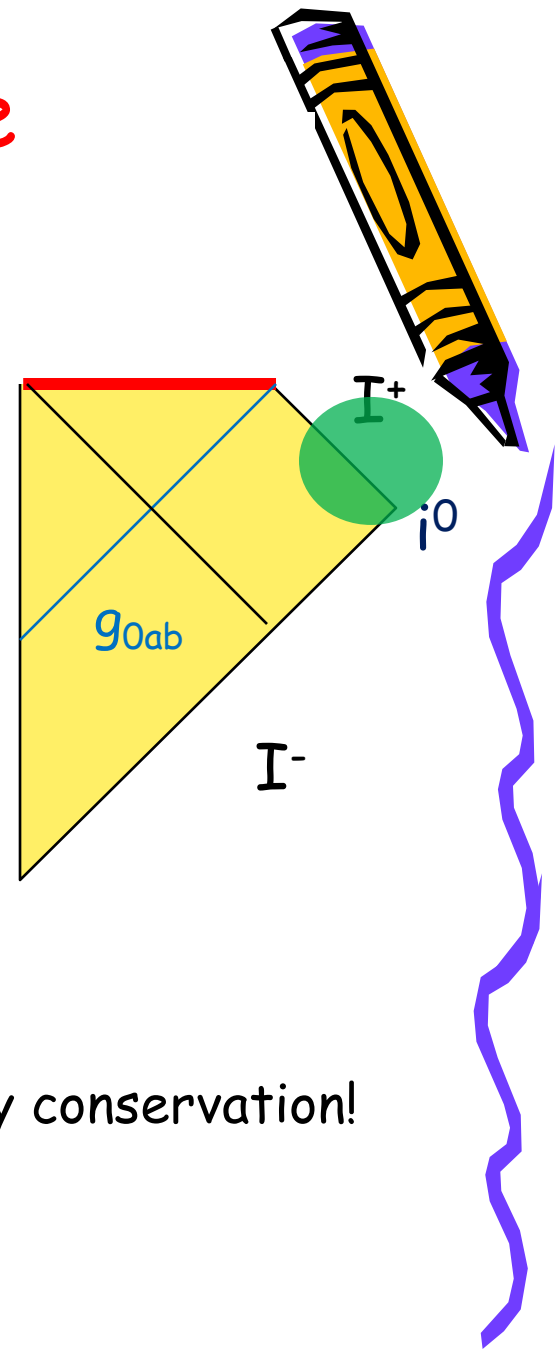
## 1. Perturbative Holography:

Consider a collapsing black hole background  $g_{0ab}$  in pure Einstein-Hilbert gravity.

Claim: A complete set of perturbative observables is available on  $I^+$  in any neighborhood of  $i^0$ .

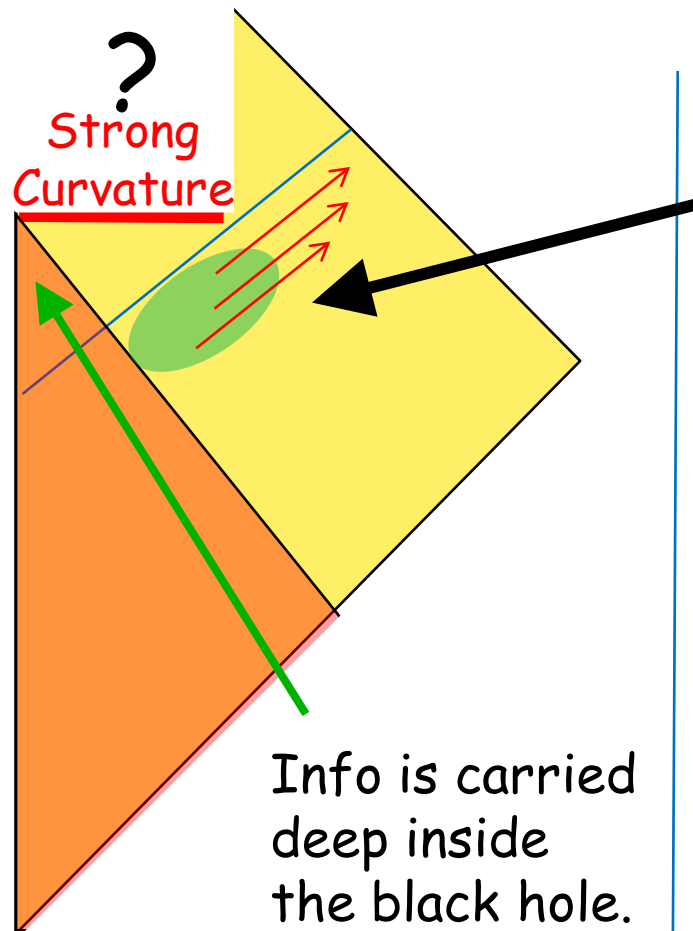
2. Suggests Unitary S-matrix, with info imprinted in Hawking radiation (next slide).

Basic Mechanism: Constraints and local energy conservation!





# Cartoon of BH evaporation



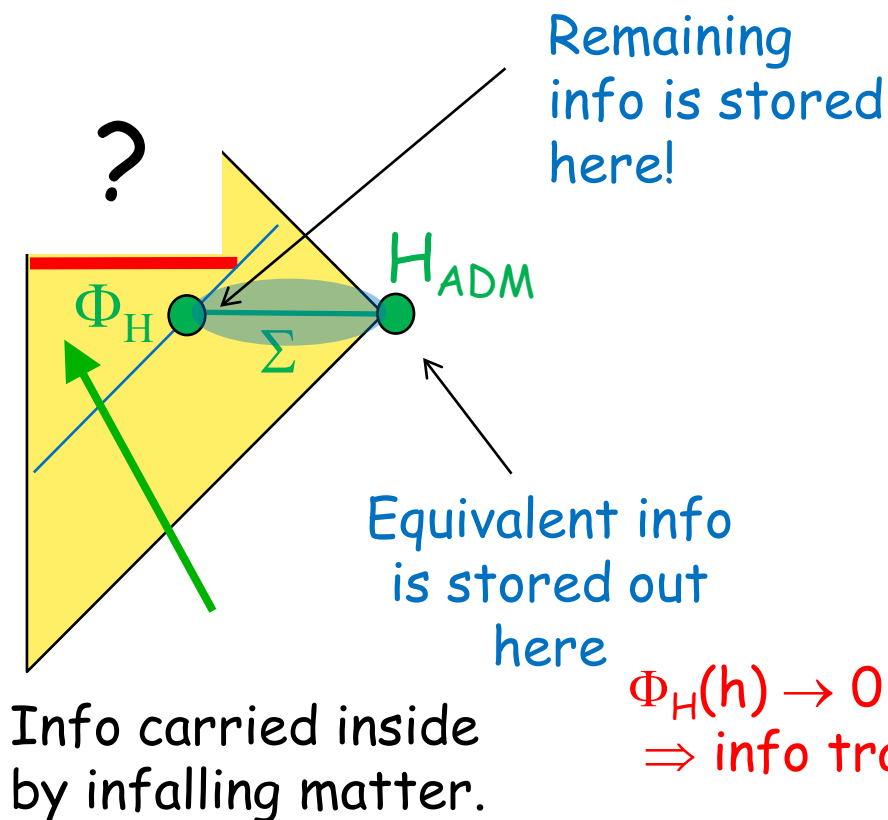
Suppose physics far from strong coupling region is essentially perturbative.

Then perturbative holography implies that all info is encoded in asymptotic fields  $g_{ab}$ , especially  $H_{ADM}$ .

But constraints relate  $H_{ADM}$  to  $T_{ab}^{Hawking}$  and a surface term "Gauss Law Grav. Flux"  $\Phi_H$  at the horizon.

$$H_{ADM} - \Phi_H(h) = \int_{\Sigma} T_{ab}^{Hawking}(h)$$

# Cartoon of Black Hole Evaporation 2



$$H_{ADM} - \Phi_H(h) \sim \int_{\Sigma} T_{ab}(h)$$

Info shared between  $\Phi_H$  and  $T_{ab}$ .

$\Phi_H(h) \rightarrow 0$  as BH evaporates.  
 $\Rightarrow$  info transferred *locally* to  $T_{ab}$ .

Indeed, once evaporation is complete, constraint implies  $H_{ADM} \sim \int_{\Sigma} T_{ab}(h)$ .

I.e., info fully transferred to Hawking radiation.



# Summary: New Perspective

1. Perturbative Holography & (for AdS) Bndy Unitarity follow from gravitational constraints, gauge invariance, and quantum Cosmic Censorship.
2. Info is stored in asymptotic local fields, and throughout BH exterior.
3. Info can be *locally* transferred to Hawking rad via constraints and (local) Energy conservation.

No new causality violation or non-locality required.

